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LICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE

10/696,708

10/30/2003

Mark T. Keating

2323-164

6449 ROTHWELL, FIGG, ERNST & MANBECK, P.C. 1425 K STREET, N.W. SUITE 800 WASHINGTON, DC 20005 CONFIRMATION NO. 7822
WITHDRAWAL NOTICE
\*OC000000013752720\*

COPY

Date Mailed: 09/08/2004

### WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice mailed on 01/12/2004 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

ROTHWEI FILE NO.: FAC PROC. INI	2	EC S	Z Z	CILITIE IST & N 3 - [	S NANBECK
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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

BEST AVAILABLE CUPY

# PCT/DO/EO Routing Sheet APPLICATION SERIAL NUMBER

APPL S/N

# APPLICATION TO BE DELIVERED TO:

PCT / DO / EO

(CP2 - 8C13)

**LOCA 5610** 

### Sequence Rule Compliance Review Item

V <sup>a</sup>	CRF, paper copy of sequence listing, and statement that
<b>1</b>	both are same missing
	CRF contains error(s) according to STIC Report
	CRF damaged or unreadable according to STIC Report
	CRF transferred from prior application is not compliant

Place an "X" in the appropriate box

REMY YUCEL, PH.D SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 1600



### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231

APPLICATION NO. 10/696708	FILING DATE 10/30/03	FIRST NAMED INVENTOR Keating		ATTORNEY DOCKET NO. 2323-164
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PATENT & T	HELL		1636	

Please find below and/or attached an Office communication concerning this application or proceeding.

#### **Commissioner of Patents**

DATE MAILED:

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

Sequences are disclosed in the specification and/or figures that are not identified by their sequence identifier (i.e., SEQ ID NO:). The nucleic acid sequence disclosed in Figure 12I does not have a sequence identifier. Applicant is reminded that the entire specification and figures should be reviewed for sequence disclosures and that each sequence disclosed in the specification must be identified by its sequence identifier (i.e., SEQ ID NO:). The specification must be amended to identify all disclosed sequences by their sequence identifier (i.e., SEQ ID NO), in accordance with 37 CFR 1.821(d).

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R. §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the one month statutory period. Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.

Any inquiry concerning this communication or earlier communications from the examiner should be directed Celine Qian whose telephone number is 571-272-0777. If the examiner cannot be reached, inquiries can be directed to Supervisory Patent Examiner Irem Yucel whose telephone number is (571) 272-0781. The fax number for the organization where this application or proceeding is assigned is (703) 872-9306.

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72. No. 10. 10. 10. 10. 10. 10. 10. 10. 10. 10				
		plication No.	Applicant(s)	
Notice to Committee	10	)/696708	Keating	•
Notice to Comply		aminer	Art Unit	T
	C	eline Qian	1638	
NOTICE TO COMPLY WITH RE NUCLEOTIDE SEQUENCE AND	QUIREMENTS FO	OR PATENT AP	PLICATIONS CONTA DISCLOSURES	INING
Applicant must file the items indicated by avoid abandonment under 35 U.S.C. §	pelow within the time p 133 (extensions of time	period set the Office ne may be obtained	action to which the Notice under the provisions of 37	is attached to CFR 1.136(a)).
The nucleotide and/or amino acid seque for such a disclosure as set forth in 37 (	ence disclosure conta C.F.R. 1.821 - 1.825 f	ined in this applicator the following rea	ion does not comply with the son(s):	he requirements
1. This application clearly fails to co directed to the final rulemaking notic effective filing date is on or after Jul and 1211 OG 82 (June 23, 1998).	ce published at 55 FR	18230 (May 1, 199	90), and 1114 OG 29 (May	15, 1990). If the
<ul> <li>2. This application does not contain required by 37 C.F.R. 1.821(c).</li> </ul>	, as a separate part o	f the disclosure on	paper copy, a "Sequence L	isting" as
3. A copy of the "Sequence Listing" 37 C.F.R. 1.821(e).	in computer readable	form has not been	submitted as required by	
4. A copy of the "Sequence Listing" computer readable form does not attached copy of the marked -up "R  **Requestion**  **Requestion**  **Requestion**  **Requestion**  **Requestion**  **Temperature**  **Requestion**  **Re	omply with the require	ments of 37 C.F.R.	bmitted. However, the cont 1.822 and/or 1.823, as ind	tent of the licated on the
5. The computer readable form that unreadable as indicated on the atta- submitted as required by 37 C.F.R.	ched CRF Diskette Pr	nis application has l roblem Report. A S	been found to be damaged substitute computer readable	and/or le form must be
6. The paper copy of the "Sequence as required by 37 C.F.R. 1.821(e).	e Listing" is not the sa	me as the compute	r readable from of the "Seq	uence Listing"
7. Other: The sequence in Figure 12	21 does not have a sec	quence identifier.		
Applicant Must Provide:  An initial or substitute computer rea	dable form (CRF) cop	y of the "Sequence	Listing".	
An initial or substitute paper copy of specification.	f the "Sequence Listin	g*, as well as an ar	nendment directing its entr	y into the
A statement that the content of the no new matter, as required by 37 C.F.R.				oplicable, include
For questions regarding complian	nce to these requi	rements, please	contact:	
For Rules Interpretation, call (703) For CRF Submission Help, call (703) Patentin Software Program Supp	703) 308-4212			

To Purchase Patentin Software......703-306-2600

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY

Technical Assistance......703-287-0200



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

1425 K STREET, N.W.

WASHINGTON, DC 20005

SUITE 800

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/696,708

ROTHWELL, FIGG, ERNST & MANBECK, P.C.

10/30/2003

Mark T. Keating

2323-164

**CONFIRMATION NO. 7822** 

FORMALITIES LETTER

\*OC000000013752760\*

COPY

Date Mailed: 09/08/2004

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR §§ 1.821-1.825. The application must be in sequence compliance before examination on the merits.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 CFR §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR § 1.821(g). Extension of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR § 1.136. In no case may an applicant extend the period for response beyond the six-month statutory period. Direct the response to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

See the attachment.

#### Applicant Must Provide as part of the response:

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact;

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

THE PATENT OFFICE'S STAMP HEREON IS ACKNOWLEDGEMENT BY IT OF RECEIPT OF THE FOLLOWING DOCUMENT(S):

OCT 0 8 2004

STEMT & TO

Applicant/Inventor: Mark T. KEATING et al.

Filed/Issued: 30 October 2003

For: Mutations in and Genomic Structure of HERG - a Long QT Syndrome Gene

Atty Docket: 2323-164

### DOCUMENT(S):

CONTINUATION APPLICATION, including

Cover transmittal letter Fee letter (in duplicate), charging fee to Deposit Acct.

Specification (92 pages, including 4 claims and abstraction (92 pages, including 4 claims and abstraction) ADS (2 pages)

Sequence Listing (34 pages)

Formal Drawings (21 pgs, 15 figures) 1.821 Statement and sequence diskette

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	TRANSMITTAL FORM			Filing Date			October 30, 2003		
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				Examiner Name	!		4790 M		
				Group Art Unit			1636		
Total Number of Pages in This Submission			Attorney Docket	Numbe	er	2323-164			
		ENCL	OSURES (chec	k all that apply	)				
	Fee Transmittal Form		Assignment Par	pers			After Allowance Communication to Group		
	Fee Attached		Drawing(s)		$\Box$	Anne	peal Communication to		
	Communication Concerning Sequence Listing and Diskette		Licensing-relate	ated Papers		Boar	Speal Communication to Board of Appeals and Interferences		
	After Final		Petition						
	☐ Affidavits/declaration(s)		Petition to Conv Provisional Appl			Grou	Group (Appeal Notice, Brief, Reply Brief)		
	Extension of Time Request		Power of Attorney, Revocation Change of Correspondence			Prop	rietary Information		
	Express Abandonment Request		Address	espondence		Status Letter			
	Information Disclosure Statement		Terminal Disclai				ner Enclosure(s) (please ntify below):		
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_}			CD, Number of	mber of CD(s)		Notic Sequ	ice to Comply (copy) uence Listing (copy)		
				REMARKS: 82			statement Pursuant to 37 CFF 21(f) (copy) Postcard Receipt (copy)		
Response to Missing Parts under 37 CFR 1.52 or 1.53					Withdrawal of Previously S Notice (copy)				
						<del>- 1</del>			
SUE	ME AND Jeffrey L. Ih					(	Complete (if applicable)		

DATE

October 8, 2004

DEPOSIT ACCOUNT USER ID 02-2135

HASIL

SIGNATURE

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